

INVESTMENT APPLICATION FORM

GROUP INVESTMENT FUND

1. Investor Information

New Client Existing Client _____ Client number (if known)

Individual Joint Trust Estate Company Partnership Club/Society/Charity

Name of Joint a/c / Trust / Estate / Company / Partnership / Club / Society / Charity. See page 4 for details of Trustees/Directors etc

Individual/Joint Investor #1

Individual/Joint Investor #2

Title: Mr Mrs Ms Miss _____ Other

Title: Mr Mrs Ms Miss _____ Other

First name(s):

First name(s):

Surname:

Surname:

Date of birth: / /

Date of birth: / /

Occupation:

Occupation:

Home address

Home address

Postcode

Postcode

Postal address (if different to home address)

Postal address (if different to home address)

Postcode

Postcode

Home phone:

Home phone:

Work phone:

Work phone:

Mobile phone:

Mobile phone:

Email:

Email:

Country of birth/Citizenship:

Country of birth/Citizenship:

IRD Number:

IRD Number:

What is the purpose of your investment?

What is the source of funds (sale of a house, sale of business, etc)

Disclosure: Are you, or are you immediately related to, a senior member of NZ or foreign government, judiciary, military or ambassador? Yes No

Are you a citizen or permanent resident of the United States?

TIN:

TIN:

Please advise how you wish to receive notifications and publications (Product Disclosure Statement, Annual Reports and quarterly Newsletters) Via email (Ensure your email address is completed above) Hard copy posted out

2. Tax Information

Resident Withholding Tax Rate (RWT) 10.5% 17.5% 30% 33% Exempt 28% *Company only*

Note: The taxpayer for joint investments is the investor with the highest tax rate.

If the taxpayer isn't a New Zealand resident for tax purposes please provide overseas address (if different to home address): _____

Non Residents 10% 15%

3. Investor Instructions

I/We wish to invest in the NZ Mortgage Income Trust (No. 2 Fund) Group Investment Fund and agree to be bound by the provisions in the relevant trust deed.

Lump Sum
I/We wish to invest a lump sum of: \$

Please transfer the sum of:
to/from NZ Mortgage Income Trust (No. 2 Fund) Group Investment Fund \$

Regular Instalment (minimum \$100 monthly)
I/We wish to make regular savings contributions of: a fortnightly / monthly basis (circle one). \$

I understand that an automatic payment authority will be sent to me/us on receipt of my/our signed application form.

- Cheques to be made payable to NZ Mortgage Income Trust (No. 2 Fund) Group Investment Fund as appropriate and crossed 'Not Transferable'.
- For new clients please attach certified copies of appropriate ID and verification of address for all parties - refer to 'Identification and Address Verification' below.
- Unless we hold written authority from all parties authorising a specific person(s) to act on behalf of the investor, we shall require all parties to sign this application and any subsequent withdrawal/variation requests.
- If signed by attorney, please see page 4 for Certificate of Non-Revocation of Power of Attorney.

4. Identification and Address Verification

The Anti-Money Laundering (AML) and Countering Financing of Terrorism Act 2009 (CFT) requires the identity of new investors to be verified by providing identification verification and address verification when investing in either of the Funds.

The original identification verification documents can be brought to our offices or can be certified as a true and correct copy of the original by a 'trusted referee', as referred to below.

| One of the following: | Or, your NZ Driver Licence plus one of the following: | Or, one of the following forms of photo ID: |
|---|---|---|
| NZ passport | A credit card, debit or eftpos card issued by a registered NZ bank (name and signature must be on the card) | NZ Driver Licence |
| NZ certificate of identity | Bank account statement issued by a registered NZ bank addressed to you from the last 12 months | 18+ card (Hospitality Association) |
| NZ firearms licence | A document issued by a NZ government agency containing your name and signature, for example, a SuperGold card | A valid international driving permit |
| Emergency travel document | IRD statement or other NZ government agency statement addressed to you from the last 12 months | Plus one of the following: NZ full birth certificate |
| Overseas passport | | Certificate of NZ citizenship |
| Foreign-issued national identity document | | Citizenship certificate issued by a foreign government |
| NZ refugee travel document | | Birth certificate issued by a foreign government |

Address Verification Documentation

In addition, you must supply one form of address verification documentation from the following list which cannot be more than 3 months old:

- Utility bill
- IRD tax assessment notice (New Zealand)
- Government valuation of property (evidencing ownership)
- Credit card/bank statements from an active account
- Letter from a New Zealand employer on the employer's letterhead (subject to separate verification process)
- Tenancy Agreement for a New Zealand address

